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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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EXAMINER

JOHNSON, CONNIE P

ART UNIT

PAPER NUMBER

1795

MAIL DATE

DELIVERY MODE

04/13/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/519,478	Applicant(s) BIEBER ET AL.	
	Examiner CONNIE P. JOHNSON	Art Unit 1795	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 19 November 2008.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-6, 8-12 and 24-26 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-6, 8-12 and 24-26 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Response to Amendment

1. The remarks and amendment filed 11/19/2008 have been entered and fully considered.
2. Claims 1-6, 8-12 and 24-26 are presented.

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-6, 8-12 and 24-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Teng, U.S. Patent No. 6,242,156 B1 in view of Crawford et al., U.S. Patent No. 4,430,366.

Teng teaches a lithographic printing plate comprising a substrate and a radiation-sensitive layer (abstract). The radiation-sensitive layer may be a single layer or multiple layers with different compositions (col. 5, lines 16-18). Therefore, the radiation-sensitive layers of Teng also meet the limitations of a primer layer and coating layer. Teng also teaches an overcoat layer that is non-radiation sensitive (form film). The non-radiation-sensitive overcoat layer (form film) is coated on the radiation-sensitive layer to retard oxygen inhibition and prevent surface durability (col. 5, lines 40-44). Since the overcoat layer isolates the composition from air and prevents oxygen from entering the radiation-sensitive layer, it is expected that the lack of oxygen would also reduce the UV energy

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required to cure the composition as in instant claim 11. Teng also teaches a polymer substrate in the printing plate composition (col. 6, line 40). The substrate may be oleophilic (ink-accepting) while the radiation-sensitive layer comprises hydrophilic (ink-repelling) properties (col. 5, lines 8-9). The radiation-sensitive layers of Teng are also UV-absorbing as exemplified by the UV-absorbing dyes in the radiation-sensitive layers (see col. 8, lines 17-60). Specifically, leuco-crystal violet is a UV-absorbing dye (see col. 8, lines 26-27). The recitation in claim 1, “so that less laser energy is needed for ablating the laser-absorbing layer than what would be needed for ablating a laser-absorbing layer without a gradient of concentration ratios” adds no patentable weight to the claim. Teng does not teach that the radiation-sensitive layer comprises a gradient solid dispersion of metal-metal oxide nor that the substrate comprises a polycarbonate film.

However, Crawford teaches applying aluminum-aluminum oxide compositions by vapor deposition (see example 1). Crawford also teaches varying ratios of aluminum and aluminum oxide throughout the thickness of the layer (col. 3, lines 53-65). The thickness of the layer comprising the aluminum/aluminum oxide is 50 to 5000 angstrom (col. 3, lines 2-3). This thickness meets the limitation of the range of 0.02 to 0.6 microns as in instant claim 6. Vapor deposition by definition comprises dispersing the metal/metal oxide particles in an uneven distribution throughout the layer to form a gradient dispersion. This process is usually performed by evaporation or sputtering. Therefore, the layer comprising the aluminum/aluminum oxide composition is expected to have a non-stoichiometric ratio between the metal and metal oxide atoms. Further, the vapor deposition process controls the amount of oxygen in the composition,

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therefore it is expected that the composition would have more metal atoms than metal oxide atoms. The vapor deposition process comprises evaporation or sputtering, wherein the metal/metal oxide distribution is controlled by the amount of oxygen in the layer. The concentration of metal in the laser-absorbing layer is a result-effective variable. The metal is applied to the laser-absorbing layer based on the amount of oxygen in the composition. Therefore, the metal concentration is optimizable. “A particular parameter must first be recognized as a result-effective variable, i.e., a variable which achieves a recognized result, before the determination of the optimum or workable ranges of said variable might be characterized as routine experimentation. In *re* Antonie, 559 F.2d 618, 195 USPQ 6 (CCPA 1977) See also In *re* Boesch, 617 F.2d 272, 205 USPQ 215 (CCPA 1980)” (see MPEP 2144.05). It would have been obvious to one of ordinary skill in the art that the metal concentration of the laser-absorbing layer would be higher than the metal composition at both edges to improve the adhesion of the substrate to the laser-absorbing layer. Crawford also teaches that the composition comprises a polymeric substrate comprising polycarbonate (col. 5, line 3). Crawford teaches that compositions comprising metals that are vapor deposited on the layer preferably have polymeric substrates (col. 4, lines 61-67 and col. 5, lines 1-12). Among the preferred polymers is polycarbonate as in instant claim 25. It would have obvious to one of ordinary skill in the art to use the aluminum/aluminum oxide composition of Crawford in the radiation-sensitive layer of Teng because the aluminum/aluminum oxide composition provides good adhesion of the substrate to the radiation-sensitive layers as taught by Crawford (col. 1, lines 58-67 and col. 2, lines 1-3). Further, it would have been obvious to one of ordinary skill in the art to use the polycarbonate coated

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substrate of Crawford in the composition of Teng to form a substrate that is compatible with aluminum/aluminum oxide coatings as taught by Crawford.

5. Claims 1 and 26 are rejected under 35 U.S.C. 103(a) as being unpatentable over Teng (above) in view of Crawford (above) as evidenced by Nishida et al., U.S. Patent No. 5,417,164.

Teng and Crawford teach a lithographic printing plate comprising a radiation-sensitive layer (laser-absorbing layer) with an aluminum/aluminum oxide composition as relied upon above. Teng also teaches that the radiation-sensitive layer (coating layer) comprises at least one polyfunctional vinyl ether or epoxy monomer (or oligomer) (see col. 9, lines 12-15). Teng does not teach that the epoxy oligomer comprises silicon. However, it would have been obvious to one of ordinary skill in the art to use a silicon epoxy polymer in the radiation-sensitive layer (coating layer) because silicon polymers, such as a silicon epoxy polymers and silicon acrylate polymers increase ink-repelling properties of the radiation-sensitive layer as evidenced by Nishida (col. 6, lines 60-67 and col. 7, lines 1-12).

Response to Arguments

6. Applicant's arguments filed 11/19/2008 have been fully considered but they are not persuasive.

7. Applicant argues that the combination of Teng, Crawford and Nishida does not teach "wherein the concentration ratio of the metal to metal oxide within the laser-absorbing layer is higher than the concentration ratio of the metal to metal oxide at both edges of the laser-absorbing layer."

The concentration of metal in the laser-absorbing layer as compared to the concentration of metal at both edges of the laser-absorbing layer is a function of oxygen control, which Crawford teaches. In addition, the concentration of metal is also dependent upon the process by which the metal is applied to the laser-absorbing layer. Crawford teaches vapor deposition to apply a metal layer to the substrate. Applicant teaches the same. Therefore, it would be expected that the metal concentration would have the same distribution in the metal layer of Crawford as applicant claims (col. 3, lines 42-50). Further, Crawford teaches introducing the metal on different regions of the substrate to vary the concentration of metal/metal oxide throughout the thickness of the layer (col. 3, lines 53-63). In addition, the recitation, “so that more energy is absorbed from the laser than what would be absorbed without a gradient of concentration ratios”, is intended use and adds no positive recitation to the claim.

8. Applicant argues that the construction of the laser-absorbing layer in the Crawford reference increases adhesion and therefore would require even more energy than what would otherwise be expected to detach the laser-absorbing layer from the substrate.

Crawford teaches vapor deposition as the method of forming the metal/metal oxide layer on the substrate. Applicant teaches the same method of vapor deposition. Therefore the method of Crawford would not be expected to have deleterious effects on adhesion of the metal/metal oxide layer to the substrate more than the present method, absent any evidence to the contrary.

9. Applicant argues that the Office Action, mailed 9/12/2008 states that adhesion is not related to the amount of energy needed to ablate the layers because the overcoat layer is insensitive to radiation and is removed during development. Further, that the present invention does not undergo a development process.

Teng teaches an on-press developing method wherein the imaging is completed by a printing machine. Applicant teaches the same (applicants' specification, page 6, lines 27-32). Further, applicant is concerned with improved adhesion between the substrate and base layer, just as in the Teng reference (applicants' specification, page 8, lines 29-32). Teng also teaches the radiation-sensitive layer is soluble with ink and/or a fountain solution (col. 4, lines 11-15). Therefore the metal/metal oxide layer of Crawford would not cause damage to the radiation-sensitive layer upon removal or require additional energy.

10. Applicant argues that it is inappropriate to rely on applicants' unexpected discovery when referencing applicants' specification page 9, lines 6-11 in the office action mailed 9/12/2008.

Applicants' specification is referenced to clearly show that applicants' invention and motivation is conventional based on the teachings of the prior art of Teng, Crawford and Nishida. According to page 9, lines 6-11 of applicants' specification, applicant discloses that it is the structure of the laser-absorbing layer and not the amount of adhesion that accelerates the imaging process and increases sensitivity. This reference to the specification was in response to an argument to show similarities between the claimed invention and the prior art.

Conclusion

11. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Connie P. Johnson whose telephone number is 571-272-7758. The examiner can normally be reached on 7:30am-4:00pm Monday thru Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Cynthia Kelly can be reached on 571-272-1526. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Connie P. Johnson/
Examiner, Art Unit 1795

/Cynthia H Kelly/

Supervisory Patent Examiner, Art Unit 1795